



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A61F 2/34</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 95/17140</b> <b>(43) International Publication Date:</b> 29 June 1995 (29.06.95)
<b>(21) International Application Number:</b> PCT/SE94/01233 <b>(22) International Filing Date:</b> 21 December 1994 (21.12.94) <b>(30) Priority Data:</b> 9304281-0 23 December 1993 (23.12.93) SE <b>(71) Applicant (for all designated States except US):</b> ASTRA AKTIEBOLAG [SE/SE]; S-151 85 Södertälje (SE). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ALBREKTSSON, Björn [SE/SE]; Barkassvägen 53, S-439 35 Onsala (SE). CARLS- SON, Lars [SE/SE]; S:a Bergavägen 8, S-430 41 Kullavik (SE). JACOBSSON, Magnus [SE/SE]; Skärsgatan 37, S-412 69 Göteborg (SE). RÖSTLUND, Tord [SE/SE]; Fasanstigen 2, S-430 41 Kullavik (SE). WENNBERG, Stig [SE/SE]; P1 6266, S-424 57 Angered (SE). <b>(74) Agent:</b> ASTRA AKTIEBOLAG; Patent Dept., S-151 85 Södertälje (SE).		<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> CUP		
<b>(57) Abstract</b>		
<p>The invention relates to a cup-shaped member for a hip joint prosthesis, for implantation into a cavity in the bone tissue in the acetabulum, comprising an acetabular cup (1) made of metal, a ceramic material or any other suitable material, preferably of titanium, the outside of said cup being rotationally symmetrical around a central axis of symmetry. The outer side of the cup (1), that is the side which is to face the bone tissue, is provided with at least one circumferential bead (5) close to the edge of the cup (1), said bead (5) having a barb-like shape in section and consequently allowing the cup (1) to be pushed into said cavity but counteracting the removal of said cup (1) from said cavity, said outer side including said bead(s) (5) being provided with a rough structure serving as a file when said cup (1) is moved or rotated in said cavity.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

Cup5      **Technical field of the invention**

The present invention relates to a cup-shaped member for a hip joint prosthesis for implantation into a cavity in the bone tissue in the acetabulum, comprising  
10      an acetabular cup made of metal, a ceramic or any other suitable material, preferably of titanium, the outside of said cup to be implanted in the bone tissue being rotationally symmetrical around a central axis of symmetry.

15

**Background to the invention**

Artificial hip joints have been used and implanted into humans for a long period of time. The joints normally  
20      comprise one femoral part which is intended to be inserted or implanted into the femur and which carries a ball-shaped articulation element, normally made of metal or a ceramic material. The joint further normally comprises a cup-shaped member, i. e. an acetabular cup,  
25      which is to be inserted into or attached to the acetabulum, and which is to hold a complementary, cup-shaped liner, normally made of Ultra High Molecular Weight Polyethylene (UHMWPE) or a similar polymer material, in which the ball-shaped element is to  
30      articulate or rotate.

The depth of the bone tissue which is available in the acetabulum for attaching the acetabular cup is limited in most directions. The acetabular cup per se is  
35      furthermore rather flat and shallow, which means that the cup at least to some extent may be considered to be more or less placed directly onto the surface of the

bone tissue and not into the bone tissue, this in contrast to most other commonly used bone implants, such as screw-shaped dental implants or standard femoral implants. These factors make it difficult to design an acetabular cup that easily will be attached to the bone tissue by means of the shape of the cup or to design efficient attachment means for the cup.

Some prior art acetabular cups are disclosed in for instance DE-A- 24 54 635, DE-A-26 45 101, US-A- 3,903,549 and US-A-4,795,470.

A metal which is commonly used in bone implants is titanium because of its proven affinity with bone tissue and its good biocompatibility. One particular property of titanium is its tendency to form a close connection with bone tissue. The formation of this close connection is often termed "osseointegration". One factor which may be important for a proper osseointegration process is a relatively good fit between implant and bone tissue, another a relative immobility between implant and bone tissue.

The object of the present invention is to provide an acetabular cup which provides conditions favourable to the osseointegration process by influencing the factors described above and which consequently provides a cup which will be firmly attached to the bone tissue.

### **Brief description of the inventive concept.**

This object is achieved in that the outer side of the cup, that is the side which is to face the bone tissue, is provided with at least one circumferentially oriented bead close to the edge of the cup-shaped element, said bead having a barb-like shape in section and consequently allowing the cup-shaped element to be

pushed into said cavity but counter-acting the removal of said cup-shaped element from said cavity, said outer side including said bead(s) being provided with a rough structure serving as a file or saw when said cup is  
5 pushed and/or rotated into said cavity.

Further advantageous embodiments are set forth in the dependent claims.

10 **Short description of the appended drawings**

Fig 1 shows a side view of an acetabular cup according to the invention  
Fig 2 shows a view of the cup of Fig 1  
15 Fig 3 a section along the line III - III in Fig 2,  
Fig 4 shows a perspective view of the cup and  
Fig 5 details of the thread.

20 **Detailed description of a preferred embodiment of the invention.**

In this preferred embodiment the cup-shaped member comprises an acetabular cup 1 which is shown in detail  
25 in figs 1 - 3. The cup 1 is intended to hold a complementary liner which may be attached to the cup according to any standard procedure or by any standard means.

30 The cup comprises two main parts, one spherical segment 2 and a cylindrical part 3 adjoining the edge of the spherical segment. The outside of the cylindrical part 3 is provided with a circumferential bead which is in the form of a thread 5. The forward side or flank 6 of  
35 the thread 5 forms an acute angle with the surface of the cylindrical part of the cup, i. e. the longitudinal orientation thereof, whereas the backward edge or flank

- 7 is more or less perpendicular to said surface of the cup. The pitch D of the thread may be about 2 mm and the height H about 1 mm in a normal sized cup. In this way the thread in section will have the shape of a barb or a saw tooth. When the cup is pushed into a cavity in the bone tissue, the thread consequently will allow the cup to be moved into the cavity but will prevent the cup to be moved out from the cavity.
- 10 The threads do not have to run around the entire periphery of the cylindrical part 3 and may for instance be broken by means of axial gaps 8 spaced equidistantly around the periphery.
- 15 The entire outer surface of the cup, including the cylindrical part 3 and the threads 5, is roughened. The rough structure should have relatively sharp edges so as to be able to function in a manner similar to a file. A suitable way of obtaining this roughness is by
- 20 blasting the surface with  $\text{Al}_2\text{O}_3$  having a particle size of about 0,25 mm at a pressure of 3 - 6 bar. It is also conceivable to use particles of  $\text{TiO}_2$ .
- 25 The free edge of the cylindrical part is also provided with a projecting lug 4, which may be used to lock the liner against rotation in the cup when the hip joint prosthesis actually is in use and the above-mentioned ball-shaped member on the femoral part of the prosthesis moves in the liner.
- 30 When the cup is to be mounted, a cavity is cut in the bone tissue in the acetabulum. The shape of this cavity corresponds closely to the outer surface of the cup apart from the threads. The diameter of the cavity
- 35 preferably is about 1 mm smaller than the diameter of the core part of the cylindrical part of the cup, i. e.

about 1 mm smaller than the diameter as measured from the tips of the threads 5. The cup is then gently tapped as far into the cavity as possible. The cup will now be held in the cavity by means of the threads, which will be pressed into the walls of the cavity. The inward movement of the cup in the cavity will result in that the roughness on the exterior of the cup will act as a file, to some extent shaping the cavity after the shape of the cup. Some scraped-off bone tissue will also be deposited into the roughness.

The cup now may be turned, for instance a quarter of a turn in the cavity by means of a tool engaging the lug 4. This rotation of the cup has four important aspects, which each one is important per se, but which are most advantageous in combination.

The first aspect is that the rough structure on the surface again will act as a file on the inner surface of the cavity and thereby, if necessary, shape the cavity to conform exactly to the shape of the cup.

The second aspect is that the thread, which also will act as a file, will cut an inner thread on the inner surface of the cavity, by which means the cup will be held still more securely. The scraped-off bone tissue will be collected in the irregularities on the surface in both these cases.

The third aspect is that the cup will be screwed inwards by into the cavity by means of the threads which are being cut, which means that the cup will be pressed inwards against the surface of the cavity.

The fourth aspect is that, as mentioned above, the irregularities on the surface will be filled with

scraped off bone tissue which will promote the growth of newly formed bone tissue into the irregularities.

5 The cylindrical part of the cup will tend to stabilize the cup in the cavity since it will counteract any tendencies of the cup to rotate out of the cavity by a lateral sliding movement along the respective spherical surfaces of cup and cavity. The cylindrical part will also offer a larger attachment area along the edge of  
10 the cup, i. e. that part at which the forces from the bone tissue being a result from the fact that the cup is forced into the cavity are oriented perpendicularly relative to the axis of symmetry of the cup.

15 The threads on the exterior of the cylindrical part of the cup have the advantage that the cup easily may be unscrewed without damaging the cavity in the acetabulum, should the cup happen to be canted during the insertion process.

20 The invention of course can be varied in many ways within the scope of the appended claims. It should for instance be noted that the beads or threads do not have to be unbroken around the entire periphery of the cup  
25 and may for instance be in the form of one or several series of relatively short sections.

The roughness on the outer surface of the cup of course can be obtained in any suitable way resulting in  
30 relatively sharp-edged irregularities. Alternative methods might for instance be etching, mechanical scoring or cutting and possibly plasmaspraying. The roughness on the surface also could be obtained by providing the surface with a rough layer of some other  
35 material than the material in the cup, such as a plasmaprayed layer of hydroxy-apatite. The additional layer advantageously might be an osseointegration



promoting or growth-stimulating material. The rough surface also could be provided with a thin layer of, or be treated with, a growth-stimulating agent.

- 5 The cup of course also can be provided with additional fastening means, such as holes for bone screws, should the prevailing conditions be such as to require this.

10 The cup can be provided with other suitable tool-engaging means for the rotation of the cup than the lug described in the preferred embodiment. It should also be noted that the quarter turn of the cup described in connection with the preferred embodiment is only given as an example and that other rotation angles are  
15 possible.

**CLAIMS**

1. Cup-shaped member for a hip joint prosthesis, for implantation into a cavity in the bone tissue in the acetabulum, comprising an acetabular cup (1) made of metal, a ceramic material or any other suitable material, preferably of titanium, the outside of said cup to be located in the bone tissue being rotationally symmetrical around a central axis of symmetry, characterized in that the outer side of the cup (1), that is the side which is to face the bone tissue, is provided with at least one circumferentially oriented bead (5) close to the edge of the cup (1), said bead (5) having a barb-like shape in section and consequently allowing said cup (1) to be pushed into said cavity but counter-acting the removal of said cup (1) from said cavity, said outer side including said bead(s) (5) being provided with a rough structure serving as a file when said cup (1) is moved or rotated in said cavity.
2. Cup according to claim 1, characterized in the forward edge (6) of said barb-shaped bead (5) forms an acute angle with the surface of said cup (1) and in that the backward edge (6) is substantially perpendicular to said surface.
3. Cup according to claim 1 or 2, characterized in that said outer side is blasted in order to obtain said roughness, for instance by means of particles of  $\text{TiO}_2$  or of  $\text{Al}_2\text{O}_3$ .
4. Cup according to anyone of claims 1 - 3, characterized in that said circumferential bead (5) is in the form of at least one thread.

5. Cup according to anyone of claims 1 - 4,  
c h a r a c t e r i z e d in that said beads (5) or  
threads are located on a cylindrical part (3) of said  
cup (1).

5

6. Cup according to any one of the preceding claims,  
c h a r a c t e r i z e d in that said beads are in  
the form of one or several series of sections, each  
section being shorter than the entire circumference of  
10 the respective part of the cup.

7. Cup according to claim 6, c h a r a c t e r i z e d  
in that said sections are separated by gaps (8)  
extending longitudinally, i.e. in parallel with the  
15 axis of the cylindrical part, through the entire beaded  
part.

1/1

FIG 2

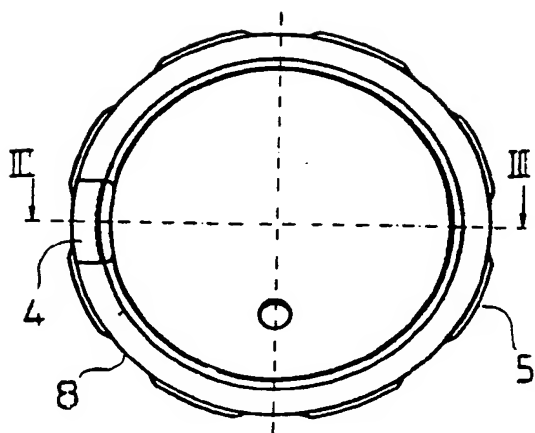


FIG 1

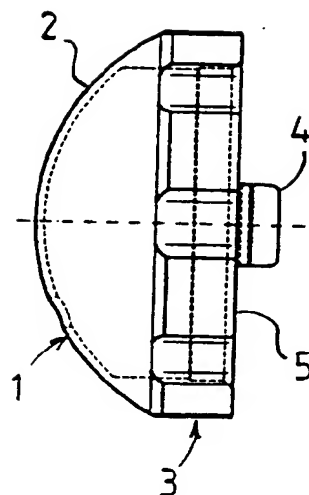


FIG 3

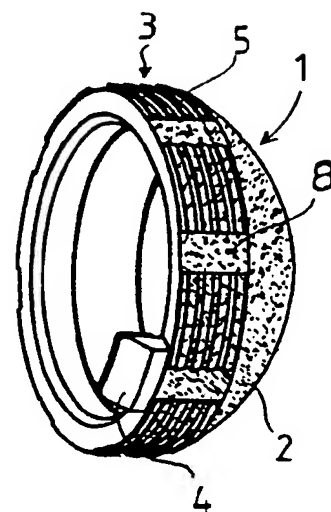
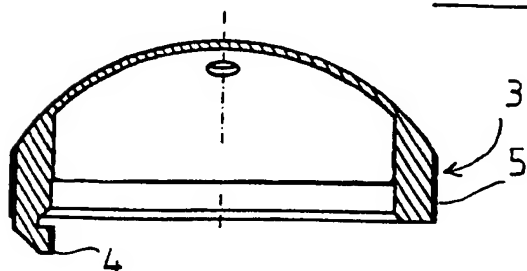


FIG 4

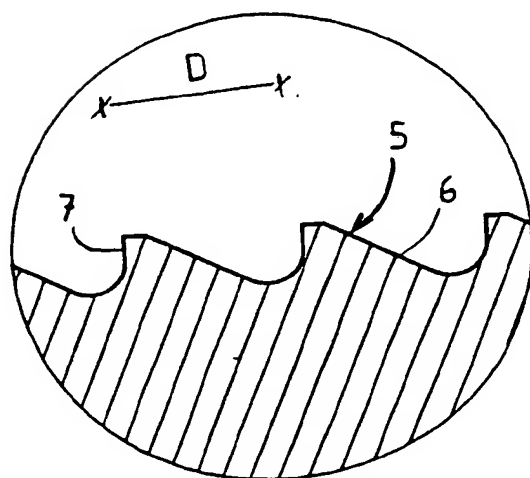


FIG 5

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 94/01233

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: A61F 2/34

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## WPI, CLAIMS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP, A2, 0575102 (SMITH & NEPHEW RICHARDS INC.), 22 December 1993 (22.12.93), page 4, line 14 - line 45, figures 1,5,7 --	1-2,5
X	DE, A1, 3101333 (ALLO PRO AG), 24 December 1981 (24.12.81), page 5, line 4 - line 19, figure 1 --	1-2,4-6
X	DE, A1, 3322978 (ORTHOPLANT VERTRIEBS-GMBH), 10 January 1985 (10.01.85), page 14, line 20 - page 16, line 22, figure 1 --	1-2,4 - 7
A	DE, A1, 2645101 (STAATLICHE PORZELLAN-MANUFAKTUR BERLIN, (KPM)), 6 April 1978 (06.04.78), figure 4 --	1,4 - 7

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

10 April 1995

20 -04- 1995

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Leif Brander

Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 94/01233

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4164794 (MYRON SPECTOR ET AL), 21 August 1979 (21.08.79), figure 1  -----	1,5

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

25/02/95

International application No.  
PCT/SE 94/01233

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A2- 0575102	22/12/93	NONE	
DE-A1- 3101333	24/12/81	CH-A- 642840	15/05/84
DE-A1- 3322978	10/01/85	NONE	
DE-A1- 2645101	06/04/78	NONE	
US-A- 4164794	21/08/79	CA-A- 1138153	28/12/82
		CH-A- 621059	15/01/81
		DE-A, C- 2816072	19/10/78
		FR-A, B- 2387028	10/11/78
		GB-A- 1602932	18/11/81
		JP-C- 1238577	31/10/84
		JP-A- 53128191	08/11/78
		JP-B- 59013211	28/03/84
		US-A- 4362681	07/12/82
		US-A- 4756862	12/07/88

THIS PAGE BLANK (USPTO)